

Notice of References Cited

Application/Control No.

09/197,278

Applicant(s)/Patent Under
Reexamination
BROWN ET AL.

Examiner

Vy Q. Bui

Art Unit

3731

Page 1 of 1

U.S. PATENT DOCUMENTS

| * A | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|-----|--|-----------------|------|----------------|
| B | US- | | | |
| C | US- | | | |
| D | US- | | | |
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FOREIGN PATENT DOCUMENTS

| * N | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
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NON-PATENT DOCUMENTS

| * U | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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|--|--|--|--|---|--|------------------------------------|--|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO.: S63.2-6769 | | APPLICATION NO.: 09/197,278 | |
| | | | | APPLICANT: Brian J. Brown et al. | | | |
| | | | | FILING DATE: November 20, 1998 | | GROUP: 3731 | |

| REFERENCE DESIGNATION | | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | |
|-----------------------|----|-----------------|---|---------------|--------------------|-------------------------------|
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE IF APPROPRIATE |
| [Signature] | AA | 6,068,656 | 5/30/00 | Von Oepen | 623/1.17 | |
| [Signature] | AB | 6,129,755 | 10/10/00 | Mathis et al. | 623/1.15 | |
| | AC | | | | | |
| | AD | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | |
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| | | DOCUMENT NUMBER | DATE | COUNTRY |
| | BA | | | |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
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| | CA | SMART TM Steril Brochure, Cordis, a Johnson & Johnson company, date unknown. |
| | CB | |
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| EXAMINER [Signature] | DATE CONSIDERED 11/27/06 |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|---|--|--|--|--------------------------------|--|------------------------|--|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO.: 563.2-6769 | | SERIAL NO.: 09/197,278 | |
| APPLICANT: Brian J. Brown et al. | | | | FILING DATE: November 20, 2000 | | GROUP: 3731 | |

| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|-----------------|-----------|----------|-----------------------|----------|----------------------------|--|
| EXAMINER'S INIT. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| Up | AA | 5,836,966 | 11/17/98 | St. Germain | 606 | 198 | |
| Up | AB | 5,938,697 | 8/17/99 | Killion et al. | 623 | 1 | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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| RELATED APPLICATIONS | | | | | |
|----------------------|-----------------|-------------|-------------|------------|------------------|
| DOCUMENT NUMBER | APPLICATION NO. | FILING DATE | INVENTOR(S) | RELATED BY | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | YES | NO | |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | |
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| EXAMINER <i>con</i> | DATE CONSIDERED 11/28/05 |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Notice of References Cited

Application No.
09/197,278

Applicant(s)
Brown et al.

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U.S. PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS |
|---|--------------|--------|-----------|-------|----------|
| A | 5,800,521 | 9/1998 | Orth | 623 | .1 |
| B | 6,017,365 | 9/1998 | Von Oepen | 623 | 1 |
| C | | | | | |
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| | DOCUMENT NO. | DATE | COUNTRY | NAME | CLASS | SUBCLASS |
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NON-PATENT DOCUMENTS

| | DOCUMENT (Including Author, Title, Source, and Pertinent Pages) | DATE |
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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.


FILING DATE: November 20, 1998

GROUP: 3731

| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|-----------------|------|-----------------------|-------|----------|-------------------------------|
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| AL | | FOREIGN PATENT DOCUMENTS | | | | | | |
|----|----|--------------------------|----------|---------|-------|----------|-------------|----|
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| Jg | BA | 96/26689 | 9/6/96 | WO | | | | |
| | BB | 98/20810 | 5/22/98 | WO | | | | |
| | BC | 297 16 476 UI | 2/5/98 | DE | | | | |
| | BD | 0 876 806 A1 | 11/11/98 | EP | | | | |
| VB | BE | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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Page 1 of 1

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|--|--|--|--|---------------------------------------|--|-------------------------------|--|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY. DOCKET NO.: S63.2-6769 | | SERIAL NO.: 09/197,278 | |
| APPLICANT: Brian J. Brown et al. | | | | FILING DATE: November 20, 1998 | | GROUP: 3738 | |

| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|-----------------|----------|-----------------------|-------|----------|----------------------------|
| EXAMINER'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| VB | AA | 5,911,754 | 06/15/99 | Kanesaka et al. | 623 | 1 | |
| J | AB | 5,922,021 | 07/13/99 | Jang | 623 | 1 | |
| J | AC | 5,948,016 | 09/07/99 | Jang | 623 | 1 | |
| | AD | 5,954,743 | 09/21/99 | Jang | 606 | 198 | |
| | AE | | | | | | |
| | AF | | | | | | |
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| APPLICANT: Brian J. Brown et al. | | | | FILING DATE: November 20, 1998 | | GROUP: 3738 | |

| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
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| EXAM'S INIT. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | | |
| | | | | | YES | NO | |
| BA | 298 16 878 U1 | 12/24/98 | DE | | (Abstract) | | |
| BB | | | | | | | |
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| BD | | | | | | | |
| BE | | | | | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
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| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO: S63.2-6769 | | Application No: 09/197,278 | |
| APPLICANT: Brian J. Brown et al. | | | | FILING DATE: November 20, 1998 | | GROUP: 3738 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|----|-----------------------|----------|-----------------|-------|----------|----------------------------|
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| ✓ | AA | 5,591,197 | 1/7/97 | Onh et al. | 606 | 198 | |
| ✓ | AB | 5,697,971 | 12/16/97 | Fischell et al. | 623 | 1 | |
| ✓ | AC | 5,718,713 | 2/17/98 | Frantzen | 606 | 198 | |
| ✓ | AD | 5,735,893 | 4/7/98 | Lau et al. | 623 | 1 | |
| ✓ | AE | 5,741,327 | 4/21/98 | Frantzen | 623 | 1 | |
| ✓ | AF | 5,755,776 | 5/26/98 | Al-Saadon | 623 | 1 | |
| ✓ | AG | 5,755,781 | 5/26/98 | Javaraman | 623 | 1 | |
| ✓ | AH | 5,776,161 | 7/7/98 | Globerman | 606 | 194 | |
| ✓ | AI | 5,776,183 | 7/7/98 | Kanesaka et al. | 623 | 1 | |
| ✓ | AJ | 5,807,404 | 9/15/98 | Richter | 623 | 1 | |
| ✓ | AK | 5,810,872 | 9/22/98 | Kanesaka et al. | 606 | 198 | |
| ✓ | AL | 5,824,059 | 10/20/98 | Wijav | 623 | 1 | |

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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| | | | | | | | YES NO |
| ✓ | BA | 297 01 758 | 3/27/97 | DE | | | |
| ✓ | BB | 297 02 671 U1 | 4/10/97 | DE | | | |
| ✓ | BC | 297 08 689 U1 | 7/17/97 | DE | | | |
| ✓ | BD | 297 08 879 U1 | 7/31/97 | DE | | | |
| ✓ | BE | 0 821 920 A1 | 2/4/98 | EP | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|--|
| ✓ | CA Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998). |
| ✓ | CB Beyar et al, "Newer Stents: Materials and Designs", <i>IAGS Proceedings</i> , 9(5): 363-371 (June 1997). |
| ✓ | CC Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997). |
| ✓ | CD Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998). |

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| EXAMINER | DATE CONSIDERED 11/27/08 |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
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| FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | ATTY DOCKET NO: S63.2-6769 | | Application No: NA | | |
|--|----|-----------------|---------------------|--|-------|---------------------------|-------------|----|
| APPLICANT: Brian J. Brown et al. | | | | FILING DATE: November 20, 1998 | | Group: | | |
| REFERENCE DESIGNATION | | | | | | | | |
| FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | | | |
| EXAMINER'S INIT. | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OF PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| Ue | BF | 97/04721 | 2/13/97 | WO | | | | |
| | BG | 97/14375 | 2/24/97 | WO | | | | |
| | BH | 97/25937 | 7/24/97 | WO | | | | |
| | BI | 97/32543 | 9/12/97 | WO | | | | |
| | BJ | 97/32544 | 9/12/97 | WO | | | | |
| | BK | 97/33534 | 9/18/97 | WO | | | | |
| | BL | 97/40780 | 11/6/97 | WO | | | | |
| | BM | 97/40781 | 11/6/97 | WO | | | | |
| | BN | 97/40782 | 11/6/97 | WO | | | | |
| | BO | 97/40783 | 11/6/97 | WO | | | | |
| Ue | BP | 97/40874 | 11/6/97 | WO | | | | |
| | BQ | | | | | | | |
| | BR | | | | | | | |
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| EXAMINER <i>[Signature]</i> | | | | DATE CONSIDERED <i>10/27/00</i> | | | | |
| <small>EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> | | | | | | | | |

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| FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | ACTY DOCKET NO: S63.2-5605 | | Serial No: 08/511,076 | |
| APPLICANT: Brian J. Brown, Michael L. Davis | | | | FILING DATE: August 3, 1995 | | Group: 3308 | |

| REFERENCE DESIGNATION | | | U.S. PATENT DOCUMENTS | | | | |
|-----------------------|----|---------------|-----------------------|-------------------|-------|----------|----------------------------|
| EXAM'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | AA | 4,553,545 | 11/19/1985 | Maass et al. | | | |
| | AB | 4,733,665 | 03/29/1988 | Palmar | | | |
| | AC | 4,739,762 | 04/26/1988 | Palmar | | | |
| | AD | 4,776,337 | 10/11/1988 | Palmar | | | |
| | AE | 4,830,003 | 05/16/1989 | Wolff et al. | | | |
| | AF | 4,856,516 | 08/15/1989 | Hillstead | | | |
| | AG | 4,886,062 | 12/12/1989 | Wiktor | | | |
| | AH | 4,913,141 | 04/03/1990 | Hillstead | | | |
| | AI | 4,994,071 | 02/19/1991 | MacGregor | | | |
| | AJ | 5,019,090 | 05/28/1991 | Pinchuk | | | |
| | AK | 5,035,706 | 07/30/1991 | Gianfranco et al. | | | |

| FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | | | |
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| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OF PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| | BA | 0 606 165 A1 | 07/13/1994 | EPO | | | X | |
| | BB | 0 540 290 A2 | 05/05/1993 | EPO | | | X | |
| | BC | 0 364 787 B1 | 04/25/1990 | EPO | | | X | |
| | BD | WO 94/17754 | 08/18/1994 | PCT | | | X | |
| | BE | 0 541 443 A1 | 05/12/1993 | EPO | | | X | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|----|--|
| | CA | <i>Manufacturing Processes for Engineering Materials</i> , by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340. |
| | CB | <i>A View of Vascular Stents</i> , by Richard A. Schatz, MD. From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457. |
| | CC | <i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610. |

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| EXAMINER | DATE CONSIDERED <u>10/27/06</u> |
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| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | ATTY DOCKET NO. 563.2-5605 | | Serial No: 08/511,076 | |
| | | | | APPLICANT: Brian J. Brown, Michael L. Davis | | | |
| | | | | FILING DATE: August 3, 1995 | | Group: 3308 | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|----|-----------------------|------------|------------------|-------|----------|-------------------------------|
| EXAM'S INT. | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| ✓ | AA | 5,059,211 | 10/22/1991 | Suck et al. | | | |
| ✓ | AB | 5,102,417 | 04/07/1992 | Palnau | | | |
| ✓ | AC | 5,104,404 | 04/14/1992 | Wolff | | | |
| ✓ | AD | 5,133,732 | 07/28/1992 | Wiktor | | | |
| ✓ | AE | 5,135,536 | 08/04/1992 | Hillstead | | | |
| ✓ | AF | 5,195,984 | 03/23/1993 | Schaez | | | |
| ✓ | AG | 5,197,978 | 03/30/1993 | Hess | | | |
| ✓ | AH | 5,282,823 | 02/01/1994 | Schwartz et al. | | | |
| ✓ | AI | 5,292,331 | 03/03/1994 | Boneau | | | |
| ✓ | AJ | 5,304,200 | 04/19/1994 | Spaulding | | | |
| ✓ | AK | 5,449,373 | 09/12/1995 | Pinchasik et al. | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| ✓ | AL | 6-4175 | 03/06/1994 | Japan | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|--|----|--|
| ✓ | AR | Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995. |
| ✓ | AS | ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421 |
| ✓ | AT | Cambridge Dictionary of Science and Technology, Cambridge University Press, 128 |

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| EXAMINER | DATE CONSIDERED <u>11/27/96</u> |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO: 563.2-5605 APPLICANT: Brian J. Brown, Michael L. Davis FILING DATE: August 3, 1995 | | Serial No: 08/511,076 Group: 3303 | | |
|---|---------------|--|-------------|--|----------|-------------------------------|
| REFERENCE DESIGNATION U.S. PATENT DOCUMENTS | | | | | | |
| EXAM'S INT. | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| ✓ | AA | 3,657,744 | 04/23/1972 | Ersek | | |
| | AB | 4,141,364 | 02/27/1979 | Schulze | | |
| | AC | 4,681,110 | 07/21/1987 | Wiktor | | |
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| | AQ | 5,092,877 | 03/03/1992 | Pinchuk | | |
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| | AV | 5,139,480 | 08/18/1992 | Hickle et al. | | |
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| ✓ | AY | 5,158,548 | 10/27/1992 | Lau et al. | | |
| EXAMINER | | DATE CONSIDERED 11/27/06 | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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|--|--|--|--|---|--|-----------------------|--|
| FORM PTO-1449 (Modified) | | | | ATTY DOCKET NO: 563.2-5605 | | Serial No: 08/511,076 | |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | APPLICANT: Brian J. Brown, Michael L. Davis | | | |
| | | | | FILING DATE: August 3, 1995 | | Group: 3308 | |

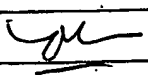
| REFERENCE DESIGNATION | | | U.S. PATENT DOCUMENTS | | | | |
|-----------------------|----|---------------|-----------------------|----------------------|-------|----------|-------------------------------|
| EXAM'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| ✓ | AA | 5,217,483 | 06/08/1993 | Tower | | | |
| | AB | 5,163,952 | 11/17/1992 | Froix | | | |
| | AC | 5,236,913 | 07/13/1993 | Pinchuk | | | |
| | AD | 5,282,824 | 02/01/1994 | Gianfranco | | | |
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| | AO | 4,441,215 | 04/10/1984 | Kaster | | | |
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| | AR | 4,740,207 | 04/26/1988 | Kreamer | | | |
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| EXAMINER: <i>[Signature]</i> | DATE CONSIDERED: <i>11/27/96</i> |
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|---|----|--------------------------|------------|---|-------|-----------------------|-------------------------------|
| FORM PTO-1449 (Modified) | | | | ATTY DOCKET NO: 563.2-5605 | | Serial No: 08/511,076 | |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | APPLICANT: Brian J. Brown, Michael L. Davis | | | |
| | | | | FILING DATE: August 3, 1995 | | Group: 3308 | |
| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
| EXAM'S INT. | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| ✓ | AA | 4,140,126 | 02/20/1979 | Choudhury | | | |
| ✓ | AB | 4,503,569 | 03/12/1985 | Dotter | | | |
| ✓ | AC | 4,512,338 | 04/23/1985 | Balko et al. | | | |
| ✓ | AD | 4,553,545 | 11/19/1985 | Maass et al. | | | |
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| ✓ | AK | 4,800,882 | 01/31/1989 | Giannurco | | | |
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| ✓ | AY | 4,164,045 | 09/14/1979 | Bokros et al. | | | |
| EXAMINER | | DATE CONSIDERED 11/02/06 | | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

| FORM PTO-1449 (Modified) | | ATTY. DOCKET NO: S63.2-5603 | | Serial No: 08/511,076 | | |
|---|---------------|---|------------|-----------------------|----------|-------------------------------|
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT: Brian J. Brown, Michael L. Davis | | | | |
| | | FILING DATE: August 3, 1995 | | Group: 3308 | | |
| REFERENCE DESIGNATION U.S. PATENT DOCUMENTS | | | | | | |
| EXAM'S INIT. | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| <i>U3</i> <div style="border-left: 1px solid black; height: 100px; margin: 0 auto; width: 20px;"></div> | AA | 4,214,587 | 07/29/1980 | Sakura, Jr. | | |
| | AB | 4,313,231 | 02/02/1982 | Koyamada | | |
| | AC | 4,425,908 | 01/17/1984 | Simon | | |
| | AD | 4,501,264 | 02/26/1985 | Rockey | | |
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| | AF | 4,580,568 | 04/08/1986 | Giannurco | | |
| | AG | 4,597,389 | 07/01/1986 | Ibrahim et al. | | |
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| <i>U3</i> <div style="border-left: 1px solid black; height: 100px; margin: 0 auto; width: 20px;"></div> | AK | 4,842,575 | 06/27/1989 | Hoffman, Jr. et al. | | |
| | AL | 4,851,009 | 07/25/1989 | Pinchuk | | |
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| | AO | | | | | |
| | AP | | | | | |
| | AQ | | | | | |
| | AR | | | | | |
| | AS | | | | | |
| | AT | | | | | |
| | AU | | | | | |
| | AV | | | | | |
| | AW | | | | | |
| | AX | | | | | |
| | AY | | | | | |
| EXAMINER <i>[Signature]</i> 11/07/06 | | DATE CONSIDERED 1/27/06 | | | | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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|---|----|---|-----------------------|
| FORM PTO-1449 (Modified) | | ATTY DOCKET NO: S63.2-5605 | Serial No: 05/511,076 |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | APPLICANT: Brian J. Brown, Michael L. Davis | |
| | | FILING DATE: August 3, 1995 | Group: 3308 |
| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) | | | |
| UB | CA | Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296. | |
| | CB | Self-expanding Stainless Steel Biliary Stents, By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983. | |
| | CC | Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187. | |
| | CD | Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™. | |
| | CE | Expandable Biliary Endoprostheses: An Experimental Study, By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282. | |
| | CF | Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial, By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326. | |
| 1 | CG | Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications, Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312. | |
| UB | CH | Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15. | |
| CP | CI | Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc. | |
| | CJ | | |
| | CK | | |
| | CL | | |
| | CM | | |
| | CN | | |
| | CO | | |
| | CP | | |
| | CQ | | |
| EXAMINER  | | DATE CONSIDERED 11/27/06 | |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | |

Electronic Information Disclosure Statement

IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

Application: *09/197278*
 Confirmation: 09/197278
 Confirmation: 1094
 Applicant(s): Brian Brown
 Docket Number: S63.2-6769
 Group Art Unit: 3731
 Examiner: Vy Q. Bui
 search string: (5609627 or 5716393 or 6231598).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Citation No. | Patent Number | Date | Bar Code | Patentee | Class | Subclass |
|------|--------------|---------------|------------|-----------|-------------------|-------|----------|
| ✓ | P01 | 5609627 | 1997-03-11 | *5609627* | Goicoechea et al. | 128 | 898 |
| ✓ | P02 | 5716393 | 1998-02-10 | *5716393* | Lindenberg et al. | 623 | 1 |
| ✓ | P03 | 6231598 | 2001-05-15 | *6231598* | Berry et al. | 623 | 1.15 |



Remarks

(Remarks are not for responding to an office action.)

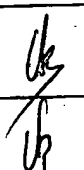
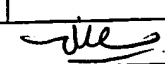
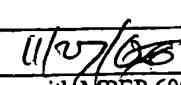
This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge

the additional fee to deposit account 22-0350. The instant application, filed November 20, 1998 (after the issuance of US 5716393, cited herein), claims priority as a continuation-in-part from an application filed August 3, 1995. On its face, US 5716393 is not prior art to the subject matter from the parent application. Nevertheless, out of an abundance of caution, Applicant requests that US 5716393 be treated as prior art as to all pending claims unless or until the Applicant confirms that he was unaware of the subject matter thereof prior to his invention date of the subject matter from the parent application.

Signature

| Examiner Name | Date |
|---|---|
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|---|-----------------|-----------------|---|------|----------------|----------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) JUL 06 2004 OFFICE OF THE COMPTROLLER OF THE PATENT AND TRADEMARK OFFICE | | | ATTY DOCKET NO.: S63.2B-6769-US APPLICATION NO.: 09/197278 APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz FILING DATE: November 20, 1998 GROUP: 3731 | | | |
| REFERENCE DESIGNATION | | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | |
| EXAMINER'S INITIALS | TRADEMARK CLASS | DOCUMENT NUMBER | DATE | NAME | CLASS/SUBCLASS | FILING DATE IF APPROPRIATE |
| 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 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1427 1428 1429 1430 1431 1432 1433 1434 1435 1436 1437 1438 1439 1440 1441 1442 1443 1444 1445 1446 1447 1448 1449 1450 1451 1452 1453 1454 1455 1 | | | | | | |

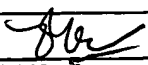
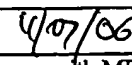
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|--|----|--|------|--|--------------------|-------------------------------|
| FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO.: S63.2B-6769-US APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz FILING DATE: November 20, 1998 | | APPLICATION NO.: 09/197278 GROUP: 3731 | | |
| REFERENCE DESIGNATION U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | | | | |
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | |
| | AB | | | | | |
| | AC | | | | | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |
| | AK | | | | | |
| | AL | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | |
| | BA | | | | | |
| | BB | | | | | |
| | BC | | | | | |
| | BD | | | | | |
| | BE | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) | | | | | | |
|  | CA | Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", <i>STENTS State of the Art Future Developments</i> , pages 59-62 (June 1995). | | | | |
| | CB | Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, <i>Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies</i> , pages 401-409 (March 7-10, 1994). | | | | |
| | CC | | | | | |
| EXAMINER | |  | | DATE CONSIDERED  | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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|--|----|---|---------------------------------|----------------------------|--------------------|-------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO.: S63.2B-6769-US | | APPLICATION NO.: 09/197278 | | |
| | | APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz | | | | |
| | | FILING DATE: November 20, 1998 | | GROUP: 3731 | | |
| REFERENCE DESIGNATION | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | | |
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE IF APPROPRIATE |
| UB | AA | 2002/0007212 A1 | 1/17/02 | Brown et al. | 623/1.16 | |
| UB | AB | 2002/0177893 A1 | 11/28/02 | Brown et al. | 623/1.16 | |
| UB | AC | 2001/0056298 A1 | 12/27/01 | Brown et al. | 623/1.16 | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |
| | AK | | | | | |
| | AL | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | |
| | BA | | | | | |
| | BB | | | | | |
| | BC | | | | | |
| | BD | | | | | |
| | BE | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) | | | | | | |
| | CA | | | | | |
| | CB | | | | | |
| | CC | | | | | |
| EXAMINER <i>Me</i> | | | DATE CONSIDERED <i>11/27/06</i> | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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|--|----|---|---------------------------------|---------------------------|
| UNPUBLISHED US APPLICATIONS | | ATTY DOCKET NO.: S63.2B-6769-US | | APPLICATION NO. 09/197278 |
| | | APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz | | |
| | | FILING DATE: November 20, 1998 | | GROUP: 3731 |
| UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY | | | | |
| EXAM'S INIT. | | APPLICATION NO. | FILING DATE | INVENTOR(S) |
| <i>VB</i> | DA | 08/396569 | 3/1/95 | Brown |
| <i>VB</i> | DB | 09/599674 | 6/22/00 | Brown et al. |
| <i>VB</i> | DC | 09/666866 | 9/20/00 | Brown et al. |
| | DD | | | |
| | DE | | | |
| EXAMINER <i>[Signature]</i> | | | DATE CONSIDERED <i>11/27/06</i> | |
| EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | |

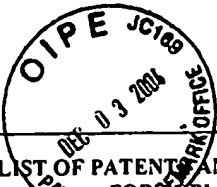
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|--|----|---|--------------------------|----------------------------|--------------------|-------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO.: S63.2N-6769-US06 | | APPLICATION NO.: 10/817508 | | |
| | | APPLICANT: Brown et al. | | | | |
| | | FILING DATE: April 2, 2004 | | GROUP: 3738 | | |
| REFERENCE DESIGNATION | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | | |
| | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE IF APPROPRIATE |
| EXAMINER INITIALS JUL 08 2004 PATENT & TRADEMARK OFFICE | AA | 6042597 | 03/28/00 | Kveen et al | 623/1.15 | |
| | AB | 6261319 | 07/17/01 | Kveen et al | 623/1.15 | |
| | AC | 6488703 | 12/03/02 | Kveen et al | 623/1.15 | |
| | AD | 6520987 | 02/18/03 | Plante | 623/1.16 | |
| | AE | 6540777 | 04/01/03 | Stenzel | 623/1.16 | |
| | AF | | | | | |
| | AG | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | |
| | BA | | | | | |
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| | BD | | | | | |
| | BE | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) | | | | | | |
| | CA | | | | | |
| | CB | | | | | |
| | CC | | | | | |
| EXAMINER <i>[Signature]</i> | | | DATE CONSIDERED 11/27/06 | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | ATTY DOCKET NO.: S63.2-6769-US04 | | APPLICATION NO.: Not yet assigned | |
| | | | APPLICANT: Brown et al. | | | |
| | | | FILING DATE: Concurrently herewith | | GROUP: Not yet assigned | |
| REFERENCE DESIGNATION | | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | |
| EXAM'S | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE IF APPROPRIATE |
| ON FILE | AAA | 5,091,211 | 2/25/211 | Richard | 427/44 | |
| JUL 06 2004 | AB | 5,356,423 | 10/18/94 | Tihon et al. | 606/194 | |
| PATENT & TRADEMARK OFFICE | AC | 5,411,552 | 5/2/95 | Andersen et al. | 623/2 | |
| | AD | 5,649,952 | 7/22/97 | Lam | 606/198 | |
| | AE | 5,707,386 | 1/13/98 | Schnepp-Pesch et al. | 606/194 | |
| | AF | 5,716,365 | 2/10/98 | Goicoechea et al. | 606/108 | |
| | AG | 5,855,597 | 1/5/99 | Jayaraman | 623/1 | |
| | AH | 5,855,600 | 1/5/99 | Alt | 623/1 | |
| | AI | 5,868,780 | 2/9/99 | Lashinski et al. | 606/198 | |
| | AJ | 5,876,432 | 3/2/99 | Lau et al. | 623/1 | |
| | AK | 5,895,407 | 4/20/99 | Jayaraman | 606/198 | |
| | AL | 5,913,895 | 6/22/99 | Burpee et al. | 623/1 | |
| | AM | 5,925,061 | 7/20/99 | Ogi et al. | 606/198 | |
| | AN | 6,053,941 | 4/25/00 | Lindenberg et al. | 623/1 | |
| | AO | 6,056,775 | 5/2/00 | Borghi et al. | 623/1.16 | |
| | AP | 6,183,506 | 2/6/01 | Penn et al. | 623/1.15 | |
| | AQ | 6,340,366 | 1/22/02 | Wijay | 623/1.13 | |
| | AR | 6,348,065 | 2/19/02 | Brown et al. | 623/1.16 | |
| | AS | 6,355,057 | 3/12/02 | Demarais et al. | 623/1.15 | |
| | AT | 6,395,020 | 5/28/02 | Lay et al. | 623/1.15 | |
| | AU | 6,533,808 | 3/18/03 | Thompson | 623/1.15 | |
| | AV | 6,540,775 | 4/1/03 | Fischell et al. | 623/1.15 | |
| | AW | 6,613,081 | 9/2/03 | Kim et al. | 623/1.15 | |
| | AX | | | | | |
| | AY | | | | | |
| | AZ | | | | | |
| EXAMINER <i>ghe</i> | | | DATE CONSIDERED <i>11/27/05</i> | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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|--|----|--|-------------|-----------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO.: S63.2-6769-US04 | | APPLICATION NO.: Not yet assigned |
| | | APPLICANT: Brown et al. | | |
| | | FILING DATE: Concurrently herewith | | GROUP: Not yet assigned |
| FOREIGN PATENT DOCUMENTS | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY |
| 1/3 | BA | 99/44535 | 9/10/99 | WO |
| 2/3 | BB | 0 796 597 A2 | 9/24/97 | EP |
| 3/3 | BC | 97/45073 | 12/4/97 | WO |
| | BD | | | |
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| UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED) | | ATTY DOCKET NO.: S63.2-6769-US04 | | APPLICATION NO. Not yet assigned | |
| | | APPLICANT: Brown et al. | | | |
| | | FILING DATE: Concurrently herewith | | GROUP: Not yet assigned | |
| UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY | | | | | |
| EXAM'S INIT. | | APPLICATION NO. | FILING DATE | INVENTOR(S) | |
| | DA | | | | |
| | DB | | | | |
| | DC | | | | |
| | DD | | | | |
| | DE | | | | |
| EXAMINER | | | | DATE CONSIDERED | |
| EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |

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|--|----|------------------------------------|-------------|----------------------------------|--------------|-------------------------|
| US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED) | | ATTY DOCKET NO.: S63.2-6769-US04 | | APPLICATION NO. Not yet assigned | | |
| | | APPLICANT: Brown et al. | | | | |
| | | FILING DATE: Concurrently herewith | | GROUP: Not yet assigned | | |
| US APPLICATIONS REALTED BY PRIORITY | | | | | | |
| EXAM'S INIT | | APPLICATION NO. | FILING DATE | PATENT NO. | INVENTOR(S) | FORM 1449/892 ENC |
| <i>VB</i> | EA | 09/197,278 | 11/20/98 | | Brown et al. | X |
| <i>VB</i> | EB | 08/511,076 | 8/3/95 | | Brown et al. | X |
| <i>VB</i> | EC | 08/396,569 | 3/1/95 | | Brown et al. | X |
| | ED | | | | | |
| | EE | | | | | |
| | EF | | | | | |
| EXAMINER <i>W</i> | | | | DATE CONSIDERED <i>1/07/2006</i> | | |
| EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. | | | | | | |



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| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | ATTY DOCKET NO.: S63.2N-6769-US06 | | APPLICATION NO.: 10/817508 | | |
| | | APPLICANT: Brown et al. | | | | |
| | | FILING DATE: April 2, 2004 | | GROUP: 3738 | | |
| REFERENCE DESIGNATION | | U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS | | | | |
| EXAM'S INIT. | | DOCUMENT NUMBER | DATE | NAME | CLASS/SUBCLASS | FILING DATE IF APPROPRIATE |
| U | AA | 6,106,548 | 8/22/00 | Roubin et al. | 623/1.15 | |
| | AB | 6,132,460 | 10/17/00 | Thompson | 623/1.15 | |
| | AC | 6,193,747 | 2/27/01 | von Oepen | 623/1.15 | |
| | AD | 6,358,274 | 3/19/02 | Thompson | 623/1.15 | |
| U | AE | 6,471,720 | 10/29/02 | Ehr et al. | 623/1.15 | |
| | AF | | | | | |
| | AG | | | | | |
| | AH | | | | | |
| | AI | | | | | |
| | AJ | | | | | |
| | AK | | | | | |
| | AL | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | | |
| | BA | | | | | |
| | BB | | | | | |
| | BC | | | | | |
| | BD | | | | | |
| | BE | | | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.) | | | | | | |
| | CA | | | | | |
| | CB | | | | | |
| | CC | | | | | |
| EXAMINER <i>8/12</i> | | | DATE CONSIDERED <i>11/27/02</i> | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | |

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| UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED) | | ATTY DOCKET NO.: S63.2N-6769-US06 | | APPLICATION NO. 10/817508 | |
| | | APPLICANT: Brown et al. | | | |
| | | FILING DATE: April 2, 2004 | | GROUP: 3738 | |
| UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY | | | | | |
| EXAM'S INIT. | | APPLICATION NO. | FILING DATE | INVENTOR(S) | |
| <i>U2</i> | DA | 60/076,946 | 3/5/98 | Tseng et al. | |
| <i>YB</i> | DB | 09/623,347 | 11/15/00 | Tseng et al. | |
| <i>YB</i> | DC | 10/474,848 | 12/29/03 | Tseng et al. | |
| | DD | | | | |
| | DE | | | | |
| EXAMINER | | | | DATE CONSIDERED | |
| EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |

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| US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED) | | ATTY DOCKET NO.: S63.2N-6769-US06 | | APPLICATION NO. 10/817508 | | |
| | | APPLICANT: Brown et al. | | | | |
| | | FILING DATE: April 2, 2004 | | GROUP: 3738 | | |
| US APPLICATIONS RELATED BY PRIORITY | | | | | | |
| EXAM'S INIT | | APPLICATION NO. | FILING DATE | PATENT NO. | INVENTOR(S) | FORM 1449/892 ENC |
| | EA | | | | | |
| | EB | | | | | |
| | EC | | | | | |
| | ED | | | | | |
| | EE | | | | | |
| | EF | | | | | |
| EXAMINER <i>John</i> | | | | DATE CONSIDERED <i>11/27/06</i> | | |
| EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application. | | | | | | |